



HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2017  
OF THE CONDITION AND AFFAIRS OF THE

Anthem Health Plans of Maine, Inc.

NAIC Group Code 0671 0671 NAIC Company Code 52618 Employer's ID Number 31-1705652  
(Current) (Prior)

Organized under the Laws of Maine, State of Domicile or Port of Entry ME

Country of Domicile United States of America

Licensed as business type: Other

Is HMO Federally Qualified? Yes [ ] No [ X ]

Incorporated/Organized 03/10/2000 Commenced Business 06/05/2000

Statutory Home Office 2 Gannett Drive, South Portland, ME, US 04106-6911  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 2 Gannett Drive  
(Street and Number)  
South Portland, ME, US 04106-6911 866-583-6182  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 2 Gannett Drive, South Portland, ME, US 04106-6911  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 2 Gannett Drive  
(Street and Number)  
South Portland, ME, US 04106-6911 866-583-6182  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.anthem.com

Statutory Statement Contact Dan Wolke, 317-488-6245  
(Name) (Area Code) (Telephone Number)  
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(E-mail Address) (FAX Number)

OFFICERS

President and Chairperson Daniel Patrick Corcoran Treasurer Robert David Kretschmer  
Secretary Kathleen Susan Kiefer Assistant Treasurer Eric (Rick) Kenneth Noble

OTHER

Mark Daniel Justus, Valuation Actuary

DIRECTORS OR TRUSTEES

<u>Daniel Patrick Corcoran</u>	<u>Carter Allen Beck</u>	<u>Kathleen Susan Kiefer</u>
<u>Ronald William Penczek</u>	<u>Robert David Kretschmer</u>	<u>John Edward Gallina</u>
<u>Catherine Irene Kelaghan</u>		

State of Maine SS:  
County of Cumberland

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

<u>Daniel P. Corcoran</u>	<u>Kathleen Kiefer</u>	<u>Robert David Kretschmer</u>
Daniel Patrick Corcoran President	Kathleen Susan Kiefer Secretary	Robert David Kretschmer Treasurer

Subscribed and sworn to before me this 9th day of November, 2017  
Sharon W. Berry

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

SHARON W. BERRY  
Notary Public, Maine  
My Commission Expires January 8, 2024

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	194,939,190	0	194,939,190	190,140,929
2. Stocks:				
2.1 Preferred stocks .....			0	
2.2 Common stocks .....			0	
3. Mortgage loans on real estate:				
3.1 First liens .....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	72,500	0	72,500	72,500
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	
5. Cash (\$ .....(4,595,643) ), cash equivalents (\$ .....1,198,706 ) and short-term investments (\$ .....69,406,678 ) .....	66,009,741		66,009,741	(6,584,704)
6. Contract loans (including \$ .....0 premium notes) .....			0	
7. Derivatives .....			0	
8. Other invested assets .....	137,115		137,115	137,985
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....	7,258,033		7,258,033	10,485,136
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	268,416,579	0	268,416,579	194,251,846
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	
14. Investment income due and accrued .....	1,320,955	0	1,320,955	1,918,912
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	37,987,809	2,793,872	35,193,937	24,287,491
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ .....18,285,778 earned but unbilled premiums) .....	18,285,778	0	18,285,778	19,330,696
15.3 Accrued retrospective premiums (\$ .....279,426 ) and contracts subject to redetermination (\$ .....1,966,766 ) .....	2,246,192	0	2,246,192	939,890
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	589,467	0	589,467	3,561,289
16.2 Funds held by or deposited with reinsured companies .....			0	
16.3 Other amounts receivable under reinsurance contracts .....			0	
17. Amounts receivable relating to uninsured plans .....	50,563,451	4,948,519	45,614,932	23,554,924
18.1 Current federal and foreign income tax recoverable and interest thereon .....	1,068,593	0	1,068,593	466,393
18.2 Net deferred tax asset .....	19,882,865	3,523,710	16,359,155	14,338,608
19. Guaranty funds receivable or on deposit .....	182,687	0	182,687	
20. Electronic data processing equipment and software .....			0	
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	1,798,537	1,798,537	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	
23. Receivables from parent, subsidiaries and affiliates .....	5,464,875	0	5,464,875	13,705,761
24. Health care (\$ .....30,337,378 ) and other amounts receivable .....	44,641,434	14,304,056	30,337,378	51,973,655
25. Aggregate write-ins for other than invested assets .....	65,437,834	983,903	64,453,931	60,076,650
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	517,887,056	28,352,597	489,534,459	408,406,115
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	
28. Total (Lines 26 and 27)	517,887,056	28,352,597	489,534,459	408,406,115
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Federal Employee Program Assets Held by Agent .....	64,283,932	0	64,283,932	59,937,916
2502. Miscellaneous Receivables .....	353,408	183,409	169,999	138,734
2503. Prepaid Expenses .....	800,494	800,494	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	65,437,834	983,903	64,453,931	60,076,650

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ .....0 reinsurance ceded) .....	119,596,174	2,110,133	121,706,307	111,048,559
2. Accrued medical incentive pool and bonus amounts .....	3,442,909	0	3,442,909	1,886,261
3. Unpaid claims adjustment expenses .....	2,751,106	0	2,751,106	2,751,333
4. Aggregate health policy reserves, including the liability of \$ .....0 for medical loss ratio rebate per the Public Health Service Act .....	100,979,149	0	100,979,149	83,937,745
5. Aggregate life policy reserves .....			0	0
6. Property/casualty unearned premium reserve .....			0	
7. Aggregate health claim reserves .....	72,138	0	72,138	73,893
8. Premiums received in advance .....	16,996,009	0	16,996,009	8,426,416
9. General expenses due or accrued .....	4,401,476	0	4,401,476	5,473,551
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....			0	
10.2 Net deferred tax liability .....			0	
11. Ceded reinsurance premiums payable .....			0	
12. Amounts withheld or retained for the account of others.....	234,764	0	234,764	253,753
13. Remittances and items not allocated .....	16,595,457	0	16,595,457	7,016,160
14. Borrowed money (including \$ ..... current) and interest thereon \$ ..... (including \$ ..... current) .....			0	
15. Amounts due to parent, subsidiaries and affiliates .....			0	6,736,960
16. Derivatives .....			0	
17. Payable for securities .....	199,588	0	199,588	
18. Payable for securities lending .....	7,258,033	0	7,258,033	10,485,136
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers).....			0	
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....			0	
21. Net adjustments in assets and liabilities due to foreign exchange rates .....			0	
22. Liability for amounts held under uninsured plans .....	22,801,100	0	22,801,100	11,596,525
23. Aggregate write-ins for other liabilities (including \$ .....2,829,173 current) .....	9,504,271	0	9,504,271	8,271,894
24. Total liabilities (Lines 1 to 23) .....	304,832,174	2,110,133	306,942,307	257,958,186
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	28,624,910	13,636,709
26. Common capital stock .....	XXX	XXX	2,500,000	2,500,000
27. Preferred capital stock .....	XXX	XXX		
28. Gross paid in and contributed surplus .....	XXX	XXX	120,652,900	120,652,900
29. Surplus notes .....	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	30,814,342	13,658,320
32. Less treasury stock, at cost:				
32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	XXX	XXX		
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	182,592,152	150,447,929
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	489,534,459	408,406,115
DETAILS OF WRITE-INS				
2301. FEP Special Advance .....	4,799,121		4,799,121	3,713,380
2302. Escheat Liability .....	1,982,686		1,982,686	1,851,039
2303. Other Premium Liabilities .....	1,288,835		1,288,835	1,086,455
2398. Summary of remaining write-ins for Line 23 from overflow page .....	1,433,629	0	1,433,629	1,621,020
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	9,504,271	0	9,504,271	8,271,894
2501. Estimated ACA Health Insurer Fee .....	XXX	XXX	15,954,827	0
2502. Deferred gain on sale-leaseback transaction .....	XXX	XXX	12,670,083	13,636,709
2503. ....	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	28,624,910	13,636,709
3001. ....	XXX	XXX		
3002. ....	XXX	XXX		
3003. ....	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	XXX	3,515,761	3,072,898	4,216,388
2. Net premium income ( including \$ .....0 non-health premium income).....	XXX	875,476,847	798,863,038	1,066,001,267
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	( 14,809,172)	(2,540,033)	(3,123,562)
4. Fee-for-service (net of \$ .....0 medical expenses).....	XXX			
5. Risk revenue .....	XXX			
6. Aggregate write-ins for other health care related revenues .....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	860,667,675	796,323,005	1,062,877,705
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....	9,819,094	566,336,638	530,940,765	709,057,902
10. Other professional services .....	128,411	7,406,348	7,367,822	9,844,168
11. Outside referrals .....				
12. Emergency room and out-of-area .....	326,986	18,859,608	22,653,267	29,614,006
13. Prescription drugs .....	2,489,415	143,582,191	135,094,340	181,193,824
14. Aggregate write-ins for other hospital and medical .....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts .....		6,691,003	2,585,032	4,876,968
16. Subtotal (Lines 9 to 15) .....	12,763,906	742,875,788	698,641,226	934,586,868
<b>Less:</b>				
17. Net reinsurance recoveries .....		288,332	2,530,933	3,526,833
18. Total hospital and medical (Lines 16 minus 17) .....	12,763,906	742,587,456	696,110,293	931,060,035
19. Non-health claims (net) .....				
20. Claims adjustment expenses, including \$ .....10,151,496 cost containment expenses .....		18,682,497	14,863,776	28,631,538
21. General administrative expenses .....		51,803,998	64,590,791	74,125,499
22. Increase in reserves for life and accident and health contracts (including \$ ..... increase in reserves for life only) .....		132,877	1,952,565	(302,723)
23. Total underwriting deductions (Lines 18 through 22).....	12,763,906	813,206,828	777,517,425	1,033,514,349
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	XXX	47,460,847	18,805,580	29,363,356
25. Net investment income earned .....		4,572,499	4,673,202	6,230,806
26. Net realized capital gains (losses) less capital gains tax of \$ .....539,109 .....		1,737,116	973,786	1,336,705
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	6,309,615	5,646,988	7,567,511
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ ..... ) (amount charged off \$ .....20,515 )].....		(20,515)	(2,036)	(343,335)
29. Aggregate write-ins for other income or expenses .....	0	208,286	67,389	(178,723)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	53,958,233	24,517,921	36,408,809
31. Federal and foreign income taxes incurred .....	XXX	18,334,192	14,919,022	17,314,136
32. Net income (loss) (Lines 30 minus 31) .....	XXX	35,624,041	9,598,899	19,094,673
<b>DETAILS OF WRITE-INS</b>				
0601. ....	XXX			
0602. ....	XXX			
0603. ....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) .....	XXX	0	0	0
0701. ....	XXX			
0702. ....	XXX			
0703. ....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) .....	XXX	0	0	0
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) .....	0	0	0	0
2901. Miscellaneous income (expense) .....	0	208,286	67,389	(178,723)
2902. ....				
2903. ....				
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) .....	0	208,286	67,389	(178,723)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	150,447,929	154,005,390	154,005,390
34. Net income or (loss) from Line 32 .....	35,624,041	9,598,899	19,094,673
35. Change in valuation basis of aggregate policy and claim reserves .....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....(62,419)	(115,922)	(3,344)	(3,941)
37. Change in net unrealized foreign exchange capital gain or (loss) .....			
38. Change in net deferred income tax .....	1,570,501	1,533,259	(1,081,941)
39. Change in nonadmitted assets .....	(3,967,771)	(1,048,740)	1,822,583
40. Change in unauthorized and certified reinsurance .....	0		
41. Change in treasury stock .....	0		
42. Change in surplus notes .....	0		
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in .....	0		
44.2 Transferred from surplus (Stock Dividend).....	0		
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in .....	0		
45.2 Transferred to capital (Stock Dividend) .....			
45.3 Transferred from capital .....			
46. Dividends to stockholders .....			(22,100,000)
47. Aggregate write-ins for gains or (losses) in surplus .....	(966,626)	(966,626)	(1,288,835)
48. Net change in capital & surplus (Lines 34 to 47) .....	32,144,223	9,113,448	(3,557,461)
49. Capital and surplus end of reporting period (Line 33 plus 48)	182,592,152	163,118,838	150,447,929
DETAILS OF WRITE-INS			
4701. Deferred gain on sale-leaseback transaction .....	(966,626)	(966,626)	(1,288,835)
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	(966,626)	(966,626)	(1,288,835)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	869,772,552	798,825,713	1,059,441,583
2. Net investment income .....	5,520,078	5,800,902	7,550,298
3. Miscellaneous income .....	0		
4. Total (Lines 1 to 3) .....	875,292,630	804,626,615	1,066,991,881
5. Benefit and loss related payments .....	727,402,993	706,381,064	919,065,406
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	81,843,706	78,494,693	108,456,524
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ .....539,109 tax on capital gains (losses) .....	19,475,501	13,056,605	17,296,148
10. Total (Lines 5 through 9) .....	828,722,200	797,932,362	1,044,818,078
11. Net cash from operations (Line 4 minus Line 10) .....	46,570,430	6,694,253	22,173,803
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	81,395,913	40,078,543	73,286,515
12.2 Stocks .....	0		
12.3 Mortgage loans .....	0		
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	1,067		
12.7 Miscellaneous proceeds .....	3,426,691	5,910,333	1,092,860
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	84,823,671	45,988,876	74,379,375
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	85,407,337	48,674,368	58,580,962
13.2 Stocks .....	0		
13.3 Mortgage loans .....	0		
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0		
13.6 Miscellaneous applications .....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	85,407,337	48,674,368	58,580,962
14. Net increase (or decrease) in contract loans and premium notes .....	0		
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(583,666)	(2,685,492)	15,798,413
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0		
16.2 Capital and paid in surplus, less treasury stock .....	0		
16.3 Borrowed funds .....	0		
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0		
16.5 Dividends to stockholders .....	0	0	22,100,000
16.6 Other cash provided (applied) .....	26,607,681	(13,130,345)	1,224,839
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	26,607,681	(13,130,345)	(20,875,161)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	72,594,445	(9,121,584)	17,097,055
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	(6,584,704)	(23,681,759)	(23,681,759)
19.2 End of period (Line 18 plus Line 19.1) .....	66,009,741	(32,803,343)	(6,584,704)

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1  Total	Comprehensive (Hospital & Medical)		4  Medicare Supplement	5  Vision Only	6  Dental Only	7  Federal Employees Health Benefit Plan	8  Title XVIII Medicare	9  Title XIX Medicaid	10  Other
		2  Individual	3  Group							
Total Members at end of:										
1. Prior Year .....	379,610	20,343	113,424	20,412	136,837	19,333	36,251	2,767	0	30,243
2. First Quarter .....	392,549	28,955	111,576	20,298	138,476	21,716	36,286	3,085	0	32,157
3. Second Quarter .....	389,610	27,405	110,687	19,906	138,432	21,264	36,327	3,375	0	32,214
4. Third Quarter .....	389,657	25,976	109,323	19,939	140,092	22,141	36,266	3,636		32,284
5. Current Year	0									
6. Current Year Member Months	3,515,761	247,504	996,442	180,976	1,250,038	194,387	326,737	29,468		290,209
Total Member Ambulatory Encounters for Period:										
7. Physician .....	1,107,844	105,699	635,749	164,099			162,104	40,193		
8. Non-Physician .....	746,677	30,186	227,035	266,065			154,760	68,631		
9. Total	1,854,521	135,885	862,784	430,164	0	0	316,864	108,824	0	0
10. Hospital Patient Days Incurred	59,630	5,534	22,812	16,296			9,231	5,757		
11. Number of Inpatient Admissions	12,434	1,112	5,227	3,291			2,020	784		
12. Health Premiums Written (a) .....	875,520,207	109,902,753	528,935,051	35,326,562	5,399,639	6,059,699	154,062,091	30,056,185		5,778,227
13. Life Premiums Direct .....	0									
14. Property/Casualty Premiums Written .....	0									
15. Health Premiums Earned .....	860,711,035	109,902,753	518,796,339	35,060,185	5,397,593	6,139,835	149,716,074	29,920,029		5,778,227
16. Property/Casualty Premiums Earned .....	0									
17. Amount Paid for Provision of Health Care Services.....	731,050,706	80,414,929	430,926,000	40,718,074	4,015,488	4,199,743	140,802,663	25,480,025		4,493,784
18. Amount Incurred for Provision of Health Care Services	742,875,788	78,519,609	454,724,062	27,997,185	3,976,499	4,194,415	139,979,789	28,366,092		5,118,137

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ .....30,056,185

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]

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UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5  Claims Incurred in Prior Years (Columns 1 + 3)	6  Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1  On Claims Incurred Prior to January 1 of Current Year	2  On Claims Incurred During the Year	3  On Claims Unpaid Dec. 31 of Prior Year	4  On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	64,413,476	459,902,532	616,449	88,760,116	65,029,925	68,399,937
2. Medicare Supplement .....	18,559,630	22,698,598	77,844	6,476,131	18,637,474	19,274,868
3. Dental Only .....	222,816	3,978,785	13,943	372,961	236,759	392,232
4. Vision Only .....	302,895	3,712,593	875	285,614	303,770	325,478
5. Federal Employees Health Benefits Plan .....	18,801,463	121,233,386	62,950	17,664,708	18,864,413	18,739,679
6. Title XVIII - Medicare .....	2,825,557	23,665,590	146,679	6,197,286	2,972,236	3,511,722
7. Title XIX - Medicaid .....	0	0	0	0	0	0
8. Other health .....	633,112	3,860,672	24,923	1,077,966	658,035	478,536
9. Health subtotal (Lines 1 to 8) .....	105,758,949	639,052,156	943,663	120,834,782	106,702,612	111,122,452
10. Healthcare receivables (a) .....	2,609,081	16,961,564	0	0	2,609,081	0
11. Other non-health .....	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts .....	982,009	4,152,346	870,277	2,572,632	1,852,286	1,886,261
13. Totals (Lines 9-10+11+12)	104,131,877	626,242,938	1,813,940	123,407,414	105,945,817	113,008,713

(a) Excludes \$ 25,070,789 loans or advances to providers not yet expensed.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

For the purposes of the quarterly interim financial information, it is presumed that the users of the interim financial information have read or have access to the Annual Statement as of December 31, 2016. This presentation addresses only significant events occurring since the last Annual Statement.

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying financial statements of Anthem Health Plans of Maine, Inc. (the “Company”) have been prepared in conformity with the National Association of Insurance Commissioners’ (“NAIC”) *Annual Statement Instructions* and in accordance with accounting practices prescribed by the NAIC *Accounting Practices and Procedures Manual* (“NAIC SAP”), subject to any deviations prescribed or permitted by the Maine Bureau of Insurance (the “Bureau”).

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Bureau is shown below:

	SSAP #	F/S Page	F/S Line #	September 30, 2017	December 31, 2016
<b><u>Net Income</u></b>					
(1) Anthem Health Plans of Maine, Inc. state basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 35,624,041	\$ 19,094,673
(2) State Prescribed Practices that is an increase/(decrease) from NAIC SAP:				—	—
(3) State Permitted Practices that is an increase/(decrease) from NAIC SAP:				—	—
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 35,624,041	\$ 19,094,673
<b><u>Surplus</u></b>					
(5) Anthem Health Plans of Maine, Inc. state basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$182,592,152	\$150,447,929
(6) State Prescribed Practices that is an increase/(decrease) from NAIC SAP:				—	—
(7) State Permitted Practices that is an increase/(decrease) from NAIC SAP:				—	—
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$182,592,152	\$150,447,929

B. Use of Estimates in the Preparation of the Financial Statements

No significant change.

C. Accounting Policies

(1) - (5) No significant change.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

- (6) Loan-backed securities are stated at amortized cost. Pre-payment assumptions for loan-backed securities and structured securities were obtained from broker-dealer survey values or internal estimates. These assumptions are consistent with the current interest rate and economic environment. The retrospective adjustment method is used to value all loan-backed securities. Non-investment grade loan-backed securities are stated at the lower of amortized cost or fair value.
- (7) - (15) No significant change.

D. **Going Concern**

Not applicable.

2. **Accounting Changes and Corrections of Errors**

Not applicable.

3. **Business Combinations and Goodwill**

Not applicable.

4. **Discontinued Operations**

Not applicable.

5. **Investments**

A. - C.

Not applicable.

D. **Loan-Backed Securities**

- (1) The Company had no loan-backed securities at September 30, 2017.
- (2) The Company did not recognize other-than-temporary impairments on its loan-backed securities during the nine months ended September 30, 2017.
- (3) The Company did not hold other-than-temporary impairments on its loan-backed securities at September 30, 2017.
- (4) The Company had no impaired securities for which an other-than-temporary impairment had not been recognized in earnings as a realized loss at September 30, 2017.
- (5) The Company had no impaired loan-backed securities at September 30, 2017.

E. **Repurchase Agreements and/or Securities Lending Transactions**

- (1) Not applicable.
- (2) No significant change.
- (3) Collateral Received
- a. No significant change.
  - b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged \$ 7,258,033
  - c. No significant change.
- (4) Not applicable.
- (5) No significant change.
- (6) Not applicable.
- (7) Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.  
NOTES TO FINANCIAL STATEMENTS

**F. Real Estate**

No significant change.

**G. Investments in Low-Income Housing Tax Credits**

No significant change.

**H. Restricted Assets**

No significant change.

**I. Working Capital Finance Investments**

Not applicable.

**J. Offsetting and Netting of Assets and Liabilities**

The Company did not have any offsetting or netting of assets and liabilities at September 30, 2017.

**K. Structured Notes**

Not applicable.

**L. 5\* Securities**

The Company has no 5\* Securities as of September 30, 2017.

**6. Joint Ventures, Partnerships and Limited Liability Companies**

No significant change.

**7. Investment Income**

No significant change.

**8. Derivative Instruments**

No significant change.

**9. Income Taxes**

No significant change.

**10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

**A. - C.**

No significant change.

**D. Amounts Due to or from Related Parties**

At September 30, 2017, the Company reported \$5,464,875 due from affiliates and no amounts due to affiliates. The receivable balance represents intercompany transactions that will be settled in accordance with the settlement terms of the intercompany agreement.

**E. - N.**

No significant change.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.  
NOTES TO FINANCIAL STATEMENTS

**11. Debt**

Not applicable.

**12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

**A. Defined Benefit Plan**

Not applicable.

**B.** Not applicable.

**C.** Not applicable.

**D.** Not applicable.

**E. Defined Contribution Plans**

Not applicable.

**F. Multiemployer Plans**

The Company does not participate in a multiemployer plan.

**G. Consolidated/Holding Company Plans**

No significant change.

**H. Post Employment Benefits and Compensated Absences**

Not applicable.

**I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)**

Not applicable.

**13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

**(1) - (8)**

No significant change.

**(9) Changes in Special Surplus Funds**

The change in balances of special surplus funds from the prior year are due to changes in the amounts segregated for the estimated Affordable Care Act ("ACA") health insurer fee. The annual fee under section 9010 of the ACA was suspended for 2017, therefore no surplus was segregated as of December 31, 2016.

**(10) - (13)**

No significant change.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

No significant change.

B. Assessments

(1) - (2)

No significant change.

(3)

- a. Discount Rate Applied3.5%
- b. The Undiscounted and Discounted Amount of the Guaranty Fund Assessments and Related Assets by Insolvency:

Name of the Insolvency	Guaranty Fund Assessment		Related Assets	
	Undiscounted	Discounted	Undiscounted	Discounted
Penn Treaty Network America Insurance Company and its American Network Insurance Company (collectively "Penn Treaty")	\$246,753	\$185,632	\$212,823	\$182,687

c. Number of Jurisdictions, Ranges of Years Used to Discount and Weighted Average Number of Years of the Discounting Time Period for Payables and Recoverables by Insolvency:

Name of the Insolvency	Payables			Recoverables		
	Number of Jurisdictions	Range of Years	Weighted Average Number of Years	Number of Jurisdictions	Range of Years	Weighted Average Number of Years
Penn Treaty Network America Insurance Company	1	1-21	5.5	1	2-27	9.0

C. - E.

No significant change.

F. All Other Contingencies

In July 2015, the Company’s ultimate parent, Anthem, and Cigna Corporation, (“Cigna”) entered into a Merger Agreement, pursuant to which we would acquire all outstanding shares of Cigna. In July 2016, the U.S. Department of Justice (“DOJ”), along with certain state attorneys general, filed a civil antitrust lawsuit in the U.S. District Court for the District of Columbia (“District Court”) seeking to block the merger. In February 2017, Cigna purported to terminate the Merger Agreement and commenced litigation against Anthem in the Delaware Court of Chancery (“Delaware Court”) seeking damages, including the \$1.85 billion termination fee pursuant to the terms of the Merger Agreement, and a declaratory judgment that its purported termination of the Merger Agreement was lawful, among other claims, which is captioned in *Cigna Corp. v. Anthem Inc.* Also in February 2017, Anthem

## NOTES TO FINANCIAL STATEMENTS

initiated its own litigation against Cigna in the Delaware Court seeking a temporary restraining order to enjoin Cigna from terminating the Merger Agreement, specific performance compelling Cigna to comply with the Merger Agreement and damages, which is captioned *Anthem Inc. v. Cigna Corp.* In April 2017, the U.S. Circuit Court of Appeals for the District of Columbia affirmed the ruling of the District Court, which blocked the merger. In May 2017, after the Delaware Court denied our motion to enjoin Cigna from terminating the Merger Agreement, we delivered to Cigna a notice terminating the Merger Agreement. The litigation in Delaware is ongoing. Anthem believes Cigna's allegations are without merit and intends to vigorously pursue our claims and defend against Cigna's allegations; however, the ultimate outcome of our litigation with Cigna cannot be presently determined.

In February 2015, we reported that we were the target of a sophisticated external cyber-attack. The attackers gained unauthorized access to certain of our information technology systems and obtained personal information related to many individuals and employees, such as names, birthdays, health care identification/social security numbers, street addresses, email addresses, phone numbers and employment information, including income data. To date, there is no evidence that credit card or medical information, such as claims, test results or diagnostic codes, were targeted, accessed or obtained, although no assurance can be given that we will not identify additional information that was accessed or obtained.

Upon discovery of the cyber-attack, we took immediate action to remediate the security vulnerability and retained a cybersecurity firm to evaluate our systems and identify solutions based on the evolving landscape. We are providing credit monitoring and identity protection services to those who have been affected by this cyber-attack. We have continued to implement security enhancements since this incident. We have incurred expenses subsequent to the cyber-attack to investigate and remediate this matter and expect to continue to incur expenses of this nature in the foreseeable future. We recognize these expenses in the periods in which they are incurred.

Actions have been filed in various federal and state courts and other claims have been or may be asserted against us on behalf of current or former members, current or former employees, other individuals, shareholders or others seeking damages or other related relief, allegedly arising out of the cyber-attack. Federal and state agencies, including state insurance regulators, state attorneys general, the Health and Human Services Office of Civil Rights and the Federal Bureau of Investigation, are investigating events related to the cyber-attack, including how it occurred, its consequences and our responses. In December 2016, the National Association of Insurance Commissioners, or NAIC, concluded its multistate targeted market conduct and financial exam. In connection with the resolution of the matter, the NAIC requested we provide, and we agreed to provide, a customized credit protection program, equivalent to a credit freeze, for our members who were under the age of eighteen on January 27, 2015. No fines or penalties were imposed on us. Although we are cooperating in these investigations, we may be subject to fines or other obligations, which may have an adverse effect on how we operate our business and our results of operations. With respect to the civil actions, a motion to transfer was filed with the Judicial Panel on Multidistrict Litigation, or the Panel, in February 2015 and was subsequently heard by the Panel in May 2015. In June 2015, the Panel entered its order transferring the consolidated matter to the U.S. District Court for the Northern District of California, or the U.S. District Court. The U.S. District Court entered its case management order in September 2015. We filed a motion to dismiss ten of the counts that were before the U.S. District Court. In February 2016, the court issued an order granting in part and denying in part our motion, dismissing three counts with prejudice, four counts without prejudice and allowing three counts to proceed. Plaintiffs filed a second amended complaint in March 2016, and we subsequently filed a second motion to dismiss. In May 2016, the court issued an order granting in part and denying in part our motion, dismissing one count with prejudice, dismissing certain counts asserted by specific named plaintiffs with or without prejudice depending on their individualized facts, and allowing the remaining counts to proceed. In July 2016, plaintiffs filed a third amended

## NOTES TO FINANCIAL STATEMENTS

complaint, which we answered in August 2016. Fact discovery was completed in December 2016. Plaintiffs filed their motion for class certification and trial plan in March 2017. We filed our opposition to class certification, motions to strike the testimony of three of the plaintiffs' experts and trial plan in April 2017. Prior to those motions being heard, the parties agreed to settle plaintiffs' claims on a class-wide basis for a total settlement payment of \$115 million and certain nonmonetary relief. In June 2017, plaintiffs filed a motion for preliminary approval of the settlement and a motion to continue all case deadlines. In July 2017, the court granted the motion to continue all case deadlines. The court issued an order of preliminary approval in August 2017. The court will consider the plaintiffs' motion for final approval in February 2018. Three state court cases related to the cyber-attack are presently proceeding outside of this multidistrict litigation. Two of those cases have been stayed. There remain open regulatory investigations into the incident that are not directly impacted by the multidistrict litigation settlement.

We have contingency plans and insurance coverage for certain expenses and potential liabilities of this nature and will pursue coverage for all applicable losses; however, the ultimate outcome of our pursuit of insurance coverage cannot be presently determined. We intend to vigorously defend these suits; however, their ultimate outcome cannot be presently determined.

There were no other significant changes to contingent liabilities since December 31, 2016 requiring disclosure.

### **15. Leases**

No significant change.

### **16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No significant change.

### **17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

#### **A. Transfers of Receivables Reported as Sales**

No significant change.

#### **B. Transfer and Servicing of Financial Assets**

(1) No significant change.

(2) - (7) Not applicable.

#### **C. Wash Sales**

(1) In the course of the Company's asset management, securities may be sold and reacquired within 30 days of the sale date to enhance the yield on the investments.

(2) At September 30, 2017, there were no wash sales involving securities with an NAIC designation of 3 or below or unrated.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. Administrative Services Only Plans

No significant change.

B. Administrative Services Contract Plans

No significant change.

C. Medicare or Other Similarly Structured Cost-Based Reimbursement Contract

No significant change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable.

20. Fair Value Measurements

A.

(1) Fair Value Measurement at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Bonds				
Industrial and misc	\$ —	\$ 7,490,988	\$ —	\$ 7,490,988
Total bonds	\$ —	\$ 7,490,988	\$ —	\$ 7,490,988
Total assets at fair value	\$ —	\$ 7,490,988	\$ —	\$ 7,490,988

(2) Fair Value Measurement in (Level 3) of the Fair Value Hierarchy

There are no investments in Level 3 as of September 30, 2017.

(3) The Company's policy is to recognize transfers between Levels, if any, as of the beginning of the reporting period.

(4) Fair values of fixed maturity securities are based on quoted market prices, where available. These fair values are obtained primarily from third party pricing services, which generally use Level 1 or Level 2 inputs, for the determination of fair value to facilitate fair value measurements and disclosures. United States government securities represent Level 1 securities, while Level 2 securities primarily include corporate securities, securities from states, municipalities and political subdivisions and residential mortgage-backed securities. For Securities not actively traded, the third party pricing services may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds.

Fair values of equity securities are generally designated as Level 1 and are based on quoted market prices. For certain equity securities, quoted market prices for the identical

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

security are not always available and the fair value is estimated by reference to similar securities for which quoted prices are available. These securities are designated Level 2. The Company has certain equity securities, including private equity securities, for which the fair value is estimated based on each security’s current condition and future cash flow projections. Such securities are designated Level 3. The fair values of these private equity securities are generally based on either broker quotes or discounted cash flow projections using assumptions for inputs such as the weighted-average cost of capital, long-term revenue growth rates and earnings before interest, taxes, depreciation and amortization, or revenue multiples that are not observable in the markets.

Certain financial assets are measured at fair value using Level 3 inputs, such as certain non-investment grade bonds and loan-backed securities or investments that are impaired during the year and recorded at fair value.

There have been no significant changes in the valuation techniques during the current period.

**B. Fair Value Measurements Under Other Accounting Pronouncements**

Not applicable.

**C. Financial Instruments**

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	\$ 198,648,649	\$ 194,939,190	\$ 18,983,134	\$ 179,665,515	\$ —	\$ —
Short-term investments	69,406,678	69,406,678	68,807,732	598,946	—	—
Cash Equivalents	1,198,706	1,198,706	1,198,706	—	—	—
Securities lending collateral asset	7,258,033	7,258,033	7,258,033	—	—	—

**D. Not Practicable to Estimate Fair Value**

Not applicable.

**21. Other Items**

No significant change.

**22. Events Subsequent**

Subsequent events have been considered through November 13, 2017 for the statutory statement issued on November 14, 2017. There were no events occurring subsequent to September 30, 2017 requiring recognition or disclosure.

**23. Reinsurance**

No significant change.

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination**

**A. - D.**

No significant change.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

E. Risk Sharing Provisions of the Affordable Care Act (“ACA”)

(1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk sharing provisions (YES/NO)?	Yes
(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year	
a. Permanent ACA Risk Adjustment Program Assets	
Assets	
1. Premium adjustments receivable due to ACA Risk Adjustment	\$ 994,920
Liabilities	
2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 47,375
3. Premium adjustments payable due to ACA Risk Adjustment	\$ 6,953,660
Operations (Revenue & Expense)	
4. Reported as revenue in premium for accident and health contracts (written/ collected) due to ACA Risk Adjustment	\$ (4,543,608)
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	\$ 51,880
b. Transitional ACA Reinsurance Program	
Assets	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ 589,467
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	\$ —
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$ —
Liabilities	
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	\$ 811,079
5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$ —
6. Liability for amounts held under uninsured plans contributions for ACA Reinsurance	\$ —
Operations (Revenue & Expense)	
7. Ceded reinsurance premiums due to ACA Reinsurance	\$ —
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$ 288,332
9. ACA Reinsurance contributions - not reported as ceded premium	\$ —
c. Temporary ACA Risk Corridors Program	
Assets	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ —
Liabilities	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$ —
Operations (Revenue & Expense)	
3. Effect of ACA Risk Corridors on net premium income (paid/received)	\$ —
4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$ —
Line items where the amount is zero is due to no balance and/or no activity as of the reporting date.	

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date		
				Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances	Ref	Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)	
1	2	3	4	5	6	7	8			9	10
Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)	
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	\$ 285,671	\$ —	\$ 1,714,154	\$ —	\$ (1,428,483)	\$ —	\$ 1,612,006	\$ —	A	\$ 183,523	\$ —
2. Premium adjustments (payable)	\$ —	\$ 5,010,424	\$ —	\$ 5,023,773	\$ —	\$ (13,349)	\$ —	\$ 13,349	B	\$ —	\$ —
3. Subtotal ACA Permanent Risk Adjustment Program	\$ 285,671	\$ 5,010,424	\$ 1,714,154	\$ 5,023,773	\$ (1,428,483)	\$ (13,349)	\$ 1,612,006	\$ 13,349		\$ 183,523	\$ —
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	\$ 3,561,289	\$ —	\$ 3,647,713	\$ —	\$ (86,424)	\$ —	\$ 675,891	\$ —	C	\$ 589,467	\$ —
2. Amounts recoverable for claims unpaid (contra liability)	\$ 387,560	\$ —	\$ —	\$ —	\$ 387,560	\$ —	\$ (387,560)	\$ —	D	\$ —	\$ —
3. Amounts receivable relating to uninsured plans	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	E	\$ —	\$ —
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	\$ —	\$ 811,079	\$ —	\$ —	\$ —	\$ 811,079	\$ —	\$ —	F	\$ —	\$ 811,079
5. Ceded reinsurance premiums payable	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	G	\$ —	\$ —
6. Liability for amounts held under uninsured plans	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	H	\$ —	\$ —
7. Subtotal ACA Transitional Reinsurance Program	\$ 3,948,849	\$ 811,079	\$ 3,647,713	\$ —	\$ 301,136	\$ 811,079	\$ 288,331	\$ —		\$ 589,467	\$ 811,079
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	I	\$ —	\$ —
2. Reserve for rate credits or policy experience rating refunds	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	J	\$ —	\$ —
3. Subtotal ACA Risk Corridors Program	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —
d. Total for ACA Risk Sharing Provisions											
	\$ 4,234,520	\$ 5,821,503	\$ 5,361,867	\$ 5,023,773	\$ (1,127,347)	\$ 797,730	\$ 1,900,337	\$ 13,349		\$ 772,990	\$ 811,079

Explanations of adjustments

- A Adjustments were made to reflect the ending balance as reported in the Centers for Medicare & Medicaid Services "Summary Report on Transitional Reinsurance Payments and Permanent Risk Adjustment Transfers for the 2016 Benefit Year."
- B Adjustments were made to reflect the ending balance as reported in the Centers for Medicare & Medicaid Services "Summary Report on Transitional Reinsurance Payments and Permanent Risk Adjustment Transfers for the 2016 Benefit Year."
- C Adjustments were made to reflect the ending balance as reported in the Centers for Medicare & Medicaid Services "Summary Report on Transitional Reinsurance Payments and Permanent Risk Adjustment Transfers for the 2016 Benefit Year."
- D Adjustments were made to reflect the ending balance as reported in the Centers for Medicare & Medicaid Services "Summary Report on Transitional Reinsurance Payments and Permanent Risk Adjustment Transfers for the 2016 Benefit Year."
- E Not applicable.
- F Not applicable.
- G Not applicable.
- H Not applicable.
- I Not applicable.
- J Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

NOTES TO FINANCIAL STATEMENTS

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year.

Risk Corridors Program Year	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. 2014											
1. Accrued retrospective premium	\$	—	\$	—	\$	—	\$	—	A	\$	—
2. Reserve for rate credits for policy experience rating refunds	\$	—	\$	—	\$	—	\$	—	B	\$	—
b. 2015											
1. Accrued retrospective premium	\$	—	\$	—	\$	—	\$	—	C	\$	—
2. Reserve for rate credits for policy experience rating refunds	\$	—	\$	—	\$	—	\$	—	D	\$	—
c. 2016											
1. Accrued retrospective premium	\$	—	\$	—	\$	—	\$	—	E	\$	—
2. Reserve for rate credits for policy experience rating refunds	\$	—	\$	—	\$	—	\$	—	F	\$	—
d. Total for Risk Corridors	\$	—	\$	—	\$	—	\$	—		\$	—

Explanations of adjustments

- A Not applicable.
- B Not applicable.
- C Not applicable.
- D Not applicable.
- E Not applicable.
- F Not applicable.

24E(4)d (Columns 1 through 10) should equal 24E(3)c3 (Column 1 through 10 respectively)

(5) ACA Risk Corridors Receivable as of Reporting Date.

Risk Corridors Program Year	1 Estimated Amount to be Filed or Final Amount Filed with CMS	2 Non-Accrued Amounts for Impairment or Other Reasons	3 Amounts received from CMS	4 Asset Balance (Gross of Non-admissions) (1 - 2 - 3)	5 Non-admitted Amount	6 Net Admitted Asset (4 - 5)
a. 2014	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. 2015	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
c. 2016	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
d. Total (a + b + c)	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

24E(5)d (Columns 4) should equal 24E(3)c1 (Column 9)

24E(5)d (Columns 6) should equal 24E(2)c1

25. Change in Incurred Claims and Claim Adjustment Expenses

The estimated cost of claims and claim adjustment expense attributable to insured events of prior years decreased by \$6,911,678 during 2017. This is approximately 6.0% of unpaid claims and claim adjustment expenses of \$115,760,046 as of December 31, 2016. The redundancy reflects the decreases in estimated claims and claims adjustment expenses as a result of claims payment during the year, and as additional information is received regarding claims incurred prior to 2017. Recent claim development trends are also taken into account in evaluating the overall adequacy of unpaid claims and unpaid claim adjustment expense.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

Not applicable.

NOTES TO FINANCIAL STATEMENTS

**28. Health Care Receivables**

No significant change.

**29. Participating Policies**

Not applicable.

**30. Premium Deficiency Reserves**

The Company recorded premium deficiency reserves of \$132,877 at September 30, 2017. The Company did not record any premium deficiency reserves at December 31, 2016.

**31. Anticipated Salvage and Subrogation**

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [ ] No [ X ]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [ ] No [ ]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [ ] No [ X ]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [ X ] No [ ]

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [ ] No [ X ]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [ ] No [ X ]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?  
If yes, attach an explanation.

Yes [ ] No [ X ] N/A [ ]

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2013

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2013

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/29/2015

6.4

By what department or departments?  
State of Maine Bureau of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [ ] No [ ] N/A [ X ]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [ ] No [ ] N/A [ X ]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [ ] No [ X ]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [ ] No [ X ]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [ ] No [ X ]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships; (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity; (c) Compliance with applicable governmental laws, rules and regulations; (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and (e) Accountability for adherence to the code.

Yes ☒ No ☐
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes ☐ No ☒
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes ☐ No ☒
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes ☒ No ☐
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$5,464,875

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes ☐ No ☒
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$
13.

Amount of real estate and mortgages held in short-term investments:

\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes ☐ No ☒
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$0	\$0
14.22 Preferred Stock	\$0	\$0
14.23 Common Stock	\$0	\$0
14.24 Short-Term Investments	\$0	\$0
14.25 Mortgage Loans on Real Estate	\$0	\$0
14.26 All Other	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes ☐ No ☒
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement.

Yes ☐ No ☐

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

16.3 Total payable for securities lending reported on the liability page.
- \$

7,258,033

\$

7,258,033

\$

7,258,033

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?
- Yes
- [ X ]
- No
- [ ]

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon Corporation	New York, NY

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
- Yes
- [ ]
- No
- [ X ]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
McDonnell Investment Management, LLC	U
Pacific Investment Management Company	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?
- Yes
- [ X ]
- No
- [ ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?
- Yes
- [ ]
- No
- [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
113878	McDonnell Investment Management, LLC		Securities Exchange Commission	NO
104559	Pacific Investment Management Company	549300KGPYQZXGMYYN38	Securities Exchange Commission	NO

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?
- Yes
- [ X ]
- No
- [ ]
- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent

87.5 %
- 1.2 A&H cost containment percent

1.2 %
- 1.3 A&H expense percent excluding cost containment expenses

7.0 %
- 2.1 Do you act as a custodian for health savings accounts?

Yes [ ] No [ X ]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date

\$
- 2.3 Do you act as an administrator for health savings accounts?

Yes [ ] No [ X ]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date

\$

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

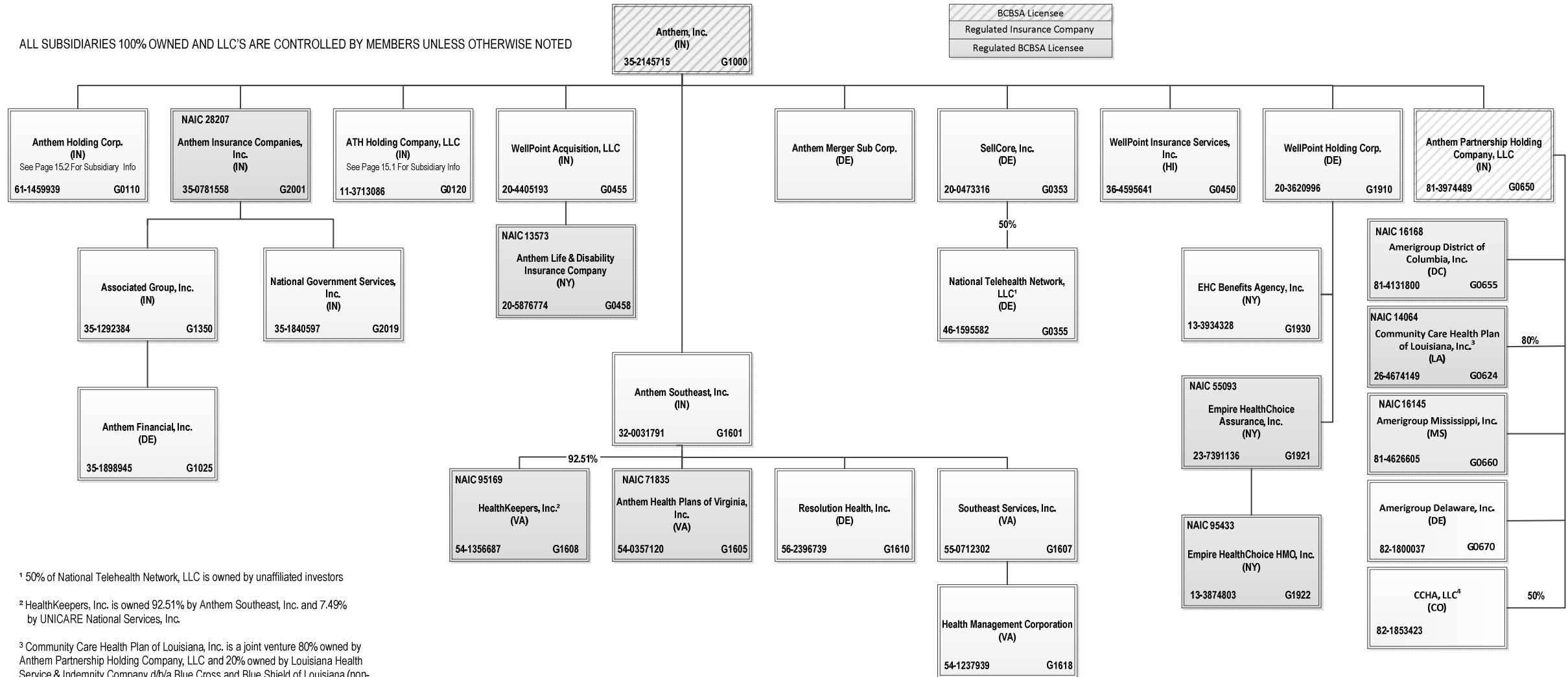
Current Year to Date - Allocated by States and Territories

		1	Direct Business Only							
States, etc.		Active Status	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama .....	AL	N						0	
2.	Alaska .....	AK	N						0	
3.	Arizona .....	AZ	N						0	
4.	Arkansas .....	AR	N						0	
5.	California .....	CA	N						0	
6.	Colorado .....	CO	N						0	
7.	Connecticut .....	CT	N						0	
8.	Delaware .....	DE	N						0	
9.	District of Columbia .....	DC	N						0	
10.	Florida .....	FL	N						0	
11.	Georgia .....	GA	N						0	
12.	Hawaii .....	HI	N						0	
13.	Idaho .....	ID	N						0	
14.	Illinois .....	IL	N						0	
15.	Indiana .....	IN	N						0	
16.	Iowa .....	IA	N						0	
17.	Kansas .....	KS	N						0	
18.	Kentucky .....	KY	N						0	
19.	Louisiana .....	LA	N						0	
20.	Maine .....	ME	L	691,401,931	30,056,185	154,062,091			875,520,207	
21.	Maryland .....	MD	N						0	
22.	Massachusetts .....	MA	N						0	
23.	Michigan .....	MI	N						0	
24.	Minnesota .....	MN	N						0	
25.	Mississippi .....	MS	N						0	
26.	Missouri .....	MO	N						0	
27.	Montana .....	MT	N						0	
28.	Nebraska .....	NE	N						0	
29.	Nevada .....	NV	N						0	
30.	New Hampshire .....	NH	N						0	
31.	New Jersey .....	NJ	N						0	
32.	New Mexico .....	NM	N						0	
33.	New York .....	NY	N						0	
34.	North Carolina .....	NC	N						0	
35.	North Dakota .....	ND	N						0	
36.	Ohio .....	OH	N						0	
37.	Oklahoma .....	OK	N						0	
38.	Oregon .....	OR	N						0	
39.	Pennsylvania .....	PA	N						0	
40.	Rhode Island .....	RI	N						0	
41.	South Carolina .....	SC	N						0	
42.	South Dakota .....	SD	N						0	
43.	Tennessee .....	TN	N						0	
44.	Texas .....	TX	N						0	
45.	Utah .....	UT	N						0	
46.	Vermont .....	VT	N						0	
47.	Virginia .....	VA	N						0	
48.	Washington .....	WA	N						0	
49.	West Virginia .....	WV	N						0	
50.	Wisconsin .....	WI	N						0	
51.	Wyoming .....	WY	N						0	
52.	American Samoa .....	AS	N						0	
53.	Guam .....	GU	N						0	
54.	Puerto Rico .....	PR	N						0	
55.	U.S. Virgin Islands .....	VI	N						0	
56.	Northern Mariana Islands .....	MP	N						0	
57.	Canada .....	CAN	N						0	
58.	Aggregate Other Aliens .....	OT	XXX	0	0	0	0	0	0	0
59.	Subtotal .....	XXX	691,401,931	30,056,185	0	154,062,091	0	0	875,520,207	0
60.	Reporting Entity Contributions for Employee Benefit Plans .....	XXX							0	
61.	Totals (Direct Business) .....	(a) 1	691,401,931	30,056,185	0	154,062,091	0	0	875,520,207	0
DETAILS OF WRITE-INS										
58001.	.....	XXX								
58002.	.....	XXX								
58003.	.....	XXX								
58998.	Summary of remaining write-ins for Line 58 from overflow page .....	XXX	0	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) .....	XXX	0	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.  
(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



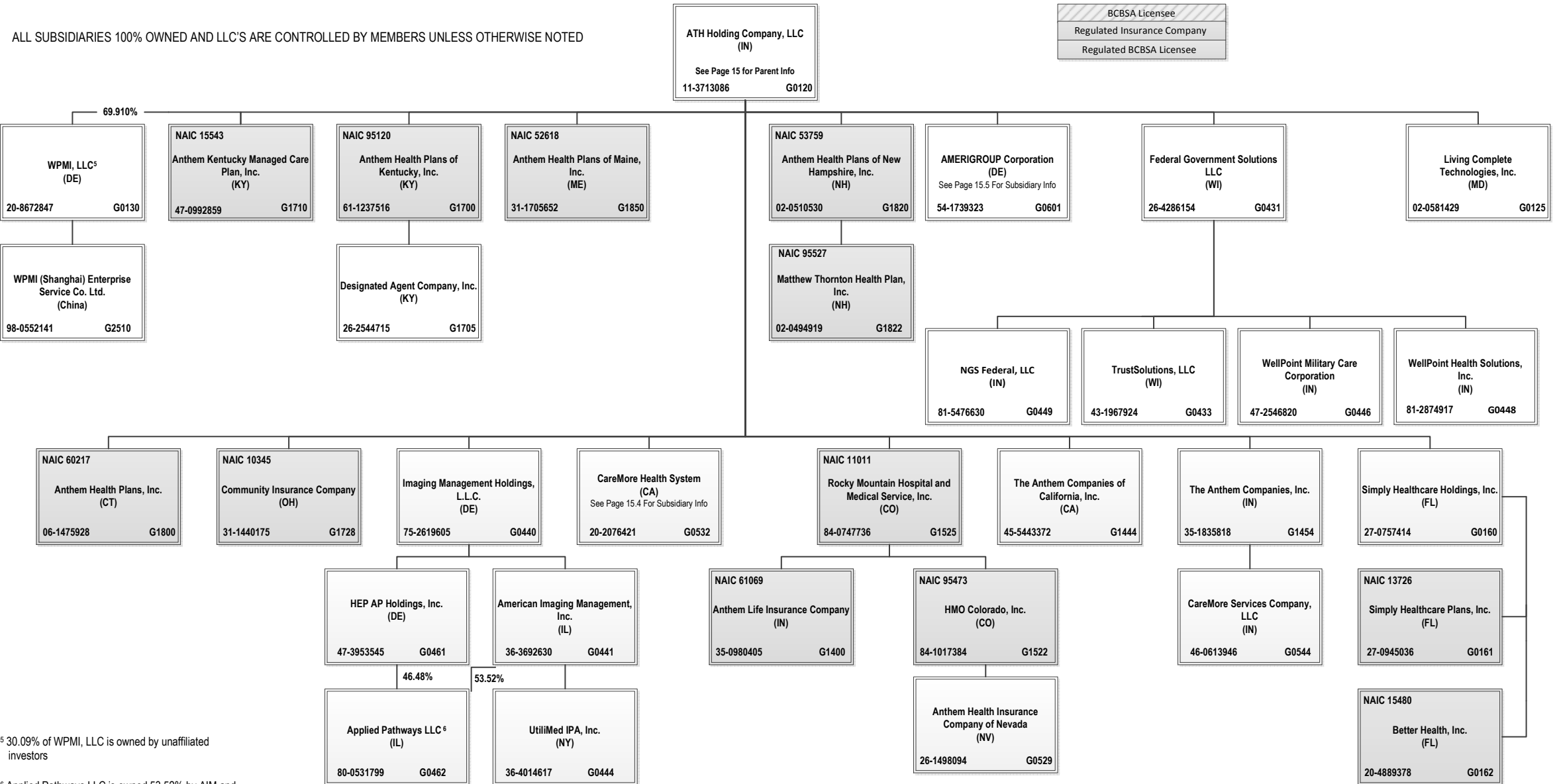
<sup>1</sup> 50% of National Telehealth Network, LLC is owned by unaffiliated investors

<sup>2</sup> HealthKeepers, Inc. is owned 92.51% by Anthem Southeast, Inc. and 7.49% by UNICARE National Services, Inc.

<sup>3</sup> Community Care Health Plan of Louisiana, Inc. is a joint venture 80% owned by Anthem Partnership Holding Company, LLC and 20% owned by Louisiana Health Service & Indemnity Company d/b/a Blue Cross and Blue Shield of Louisiana (non-affiliate)

<sup>4</sup> CCHA, LLC is a joint venture 50% owned by Anthem Partnership Holding Company, LLC and 50% owned by Colorado Community Health Alliance, LLC (non-affiliate)

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART



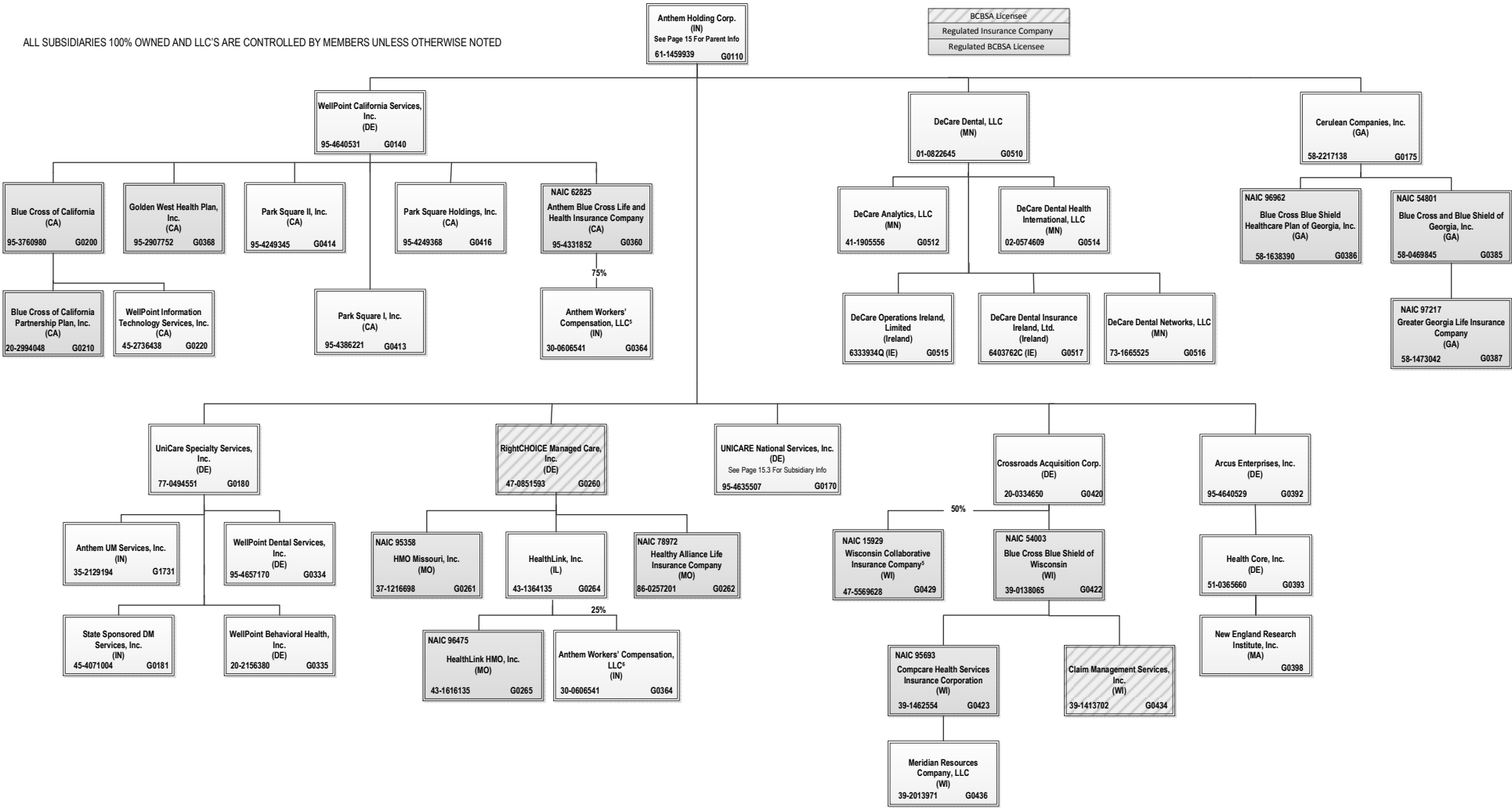
<sup>5</sup> 30.09% of WPMI, LLC is owned by unaffiliated investors

<sup>6</sup> Applied Pathways LLC is owned 53.52% by AIM and 46.48% by HEP AP Holdings, Inc.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

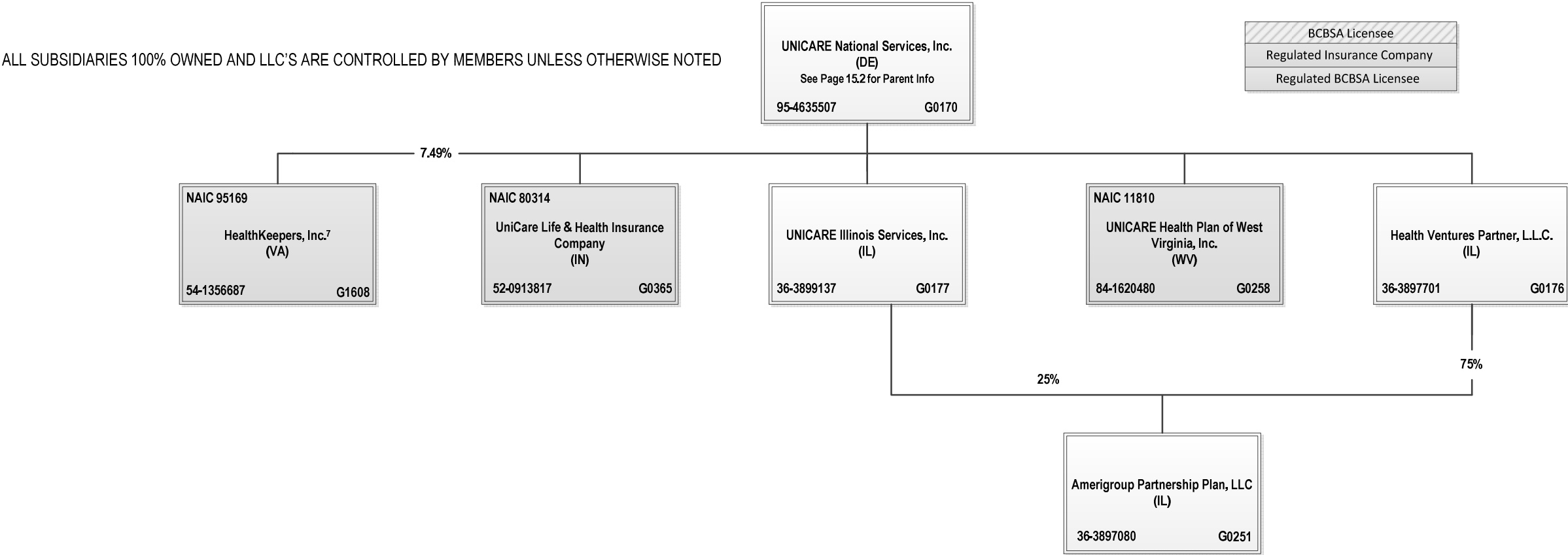
**PART 1 – ORGANIZATIONAL CHART**



<sup>5</sup> 50% of WCIC is owned by Aurora Health Care, Inc. (non-affiliate)

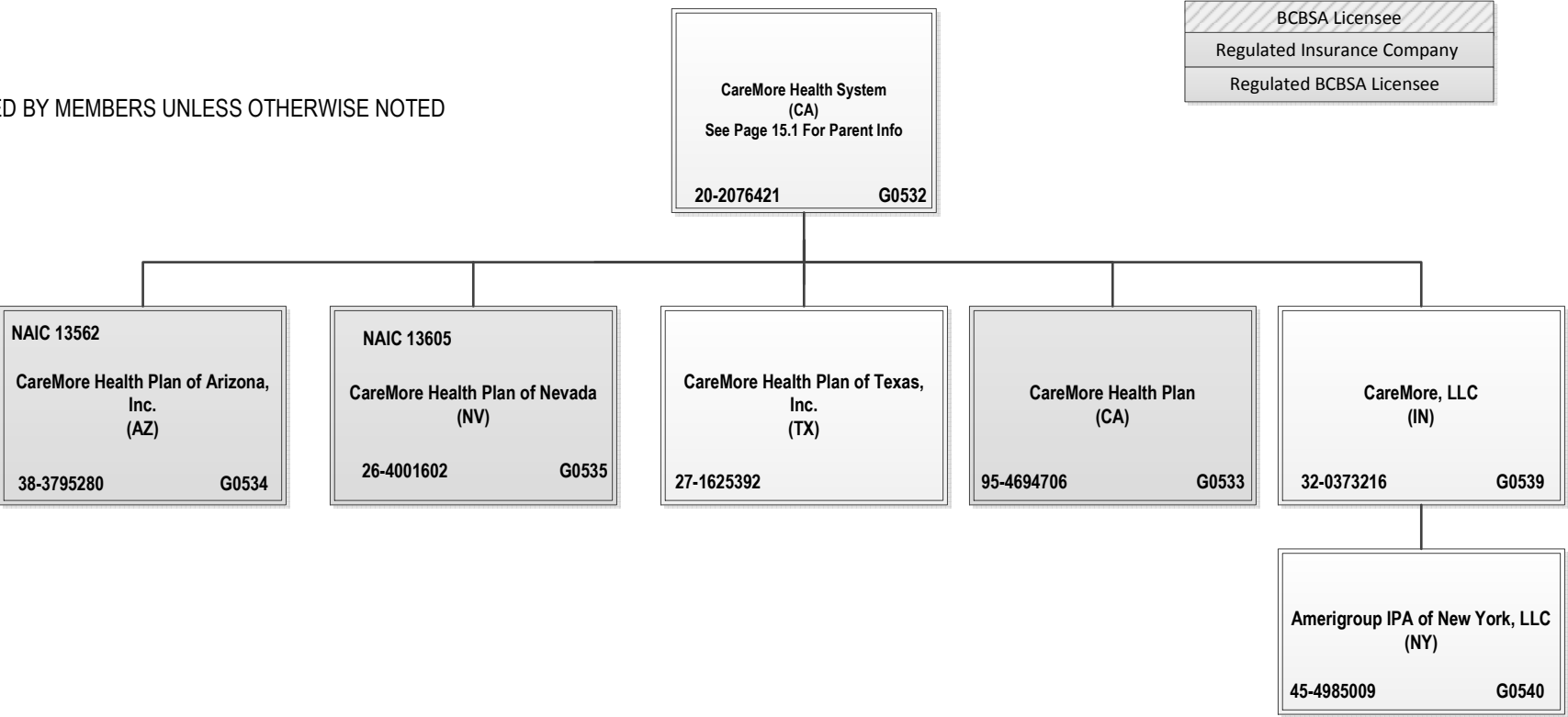
<sup>6</sup> Anthem Workers' Compensation LLC is owned 75% by Anthem Blue Cross Life and Health Insurance Company and 25% by HealthLink, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART



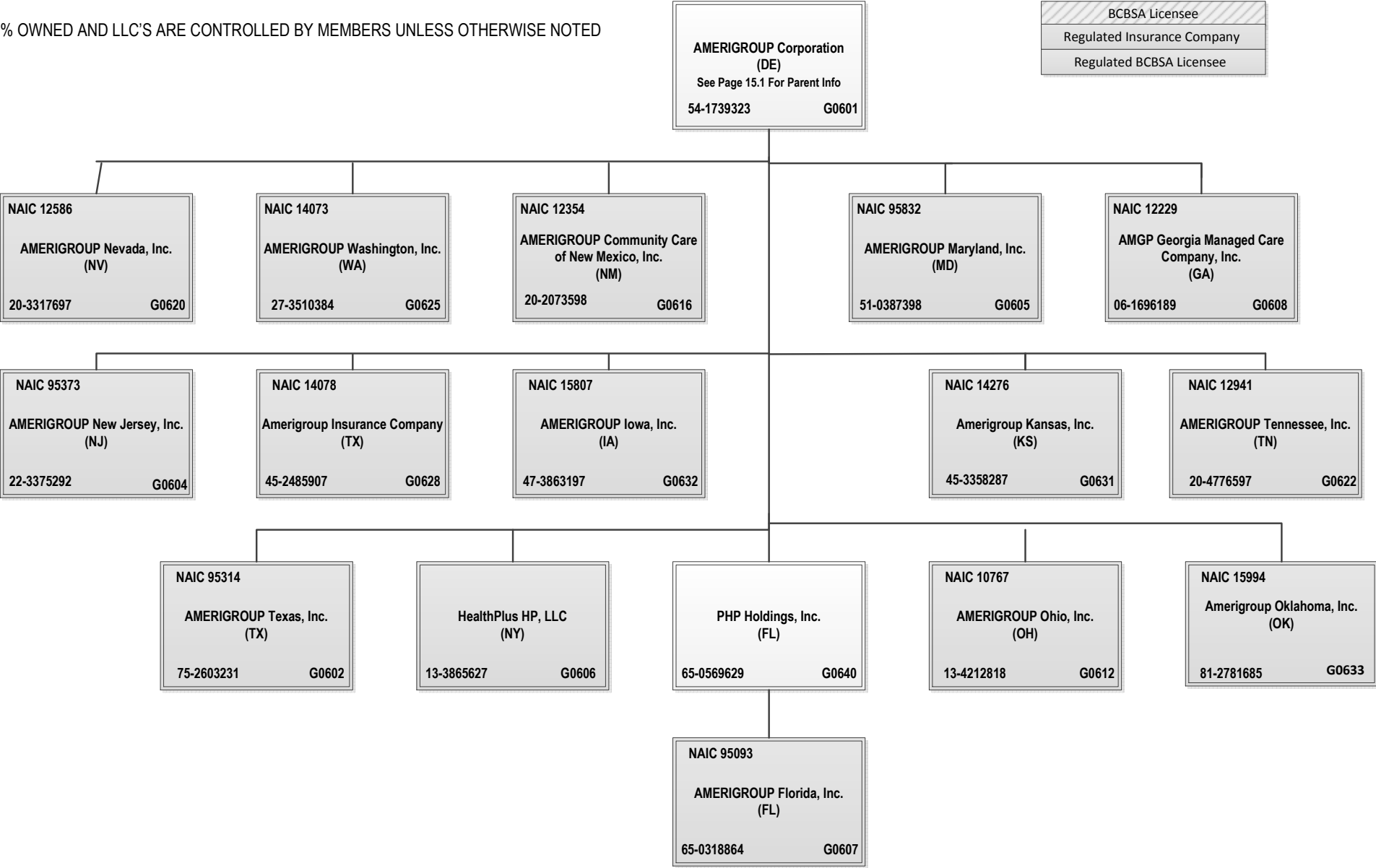
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.		36-3692630				American Imaging Management, Inc. .... AMERIGROUP Community Care of New Mexico, Inc. ....	IL	NIA	Imaging Management Holdings, L.L.C. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	12354	20-2073598				AMERIGROUP Corporation .....	NM	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		54-1739323				AMERIGROUP Delaware, Inc. ....	DE	NIA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.						AMERIGROUP District of Columbia, Inc. ....	DC	IA	Anthem Partnership Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	16168	81-4131800				AMERIGROUP Florida, Inc. ....	FL	IA	PHP Holdings, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95093	65-0318864				AMERIGROUP Insurance Company .....	TX	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	14078	45-2485907				AMERIGROUP Iowa, Inc. ....	IA	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	15807	47-3863197				AMerigroup IPA of New York, LLC .....	NY	NIA	CareMore, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		45-4985009				AMerigroup Kansas, Inc. ....	KS	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	14276	51-3358287				AMERIGROUP Maryland, Inc. ....	MD	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95832	51-0387398				AMerigroup Mississippi, Inc. ....	MS	IA	Anthem Partnership Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	16145	81-4626605				AMERIGROUP Nevada, Inc. ....	NV	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	12586	20-3317697				AMERIGROUP New Jersey, Inc. ....	NJ	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95373	22-3375292				AMERIGROUP Ohio, Inc. ....	OH	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	10767	13-4212818				AMERIGROUP Oklahoma, Inc. ....	OK	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	15994	81-2781685				AMerigroup Partnership Plan, LLC .....	IL	NIA	Health Ventures Partner, L.L.C. ....	Ownership.....	75.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		36-3897080				AMerigroup Partnership Plan, LLC .....	IL	NIA	UNICARE Illinois Services, Inc. ....	Ownership.....	25.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		36-3897080				AMERIGROUP Tennessee, Inc. ....	TN	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	12941	20-4776597				AMERIGROUP Texas, Inc. ....	TX	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95314	75-2603231				AMERIGROUP Washington, Inc. ....	WA	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	14073	27-3510384				AMGP Georgia Managed Care Company, Inc. .... Anthem Blue Cross Life and Health Insurance Company .....	GA	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	12229	06-1696189				Anthem Financial, Inc. ....	CA	IA	WellPoint California Services, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	62825	95-4331852				Anthem Health Insurance Company of Nevada .....	DE	NIA	Associated Group, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		35-1898945				Anthem Health Plans of Kentucky, Inc. ....	NV	NIA	HMO Colorado, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		26-1498094				Anthem Health Plans of Kentucky, Inc. ....	KY	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95120	61-1237516				Anthem Health Plans of Maine, Inc. ....	ME	RE	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.Y	.0108
.0671	Anthem, Inc.	52618	31-1705652				Anthem Health Plans of New Hampshire, Inc. ....	NH	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	53759	02-0510630				Anthem Health Plans of Virginia, Inc. ....	VA	IA	Anthem Southeast, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	71835	54-0357120	40003317			Anthem Health Plans, Inc. ....	CT	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	60217	06-1475928				Anthem Holding Corp. ....	IN	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		61-1459939			New York Stock Exchange (NYSE) .....	Anthem, Inc. ....	IN	UIP				Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		35-2145715		6324		Anthem Insurance Companies, Inc. ....	IN	IA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.Y	.0108
.0671	Anthem, Inc.	28207	35-0781558				Anthem Kentucky Managed Care Plan, Inc. ....	KY	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	15543	47-0992859				Anthem Life & Disability Insurance Company .....	NY	IA	WellPoint Acquisition, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	13573	20-5876774				Anthem Life Insurance Company .....	IN	IA	Service, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	61069	35-0980405				Anthem Merger Sub Corp. ....	DE	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		81-3974489				Anthem Partnership Holding Company, LLC .....	DE	UDP	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		32-0031791				Anthem Southeast, Inc. ....	IN	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		35-2129194				Anthem UM Services, Inc. ....	IN	NIA	UNICARE Specialty Services, Inc. .... Anthem Blue Cross Life and Health Insurance Company .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		30-0606541				Anthem Workers' Compensation, LLC .....	IN	NIA	Company .....	Ownership.....	75.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		30-0606541				Anthem Workers' Compensation, LLC .....	IN	NIA	HealthLink, Inc. ....	Ownership.....	25.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.						American Imaging Management, Inc./HEP AP Holdings, Inc. ....	IL	NIA		Ownership.....	100.000	Anthem, Inc. ....	.N	.0107
.0671	Anthem, Inc.		95-4640529				Arcus Enterprises, Inc. ....	DE	NIA	Anthem Holding Corp. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		35-1292384				Associated Group, Inc. ....	IN	NIA	Anthem Insurance Companies, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		11-3713086				ATH Holding Company, LLC .....	IN	UDP	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	15480	20-4889378				Better Health, Inc. ....	FL	IA	Simply Healthcare Holdings, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	54801	58-0469845				Blue Cross and Blue Shield of Georgia, Inc. ....	GA	IA	Cerulean Companies, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.	.96962	58-1638390				Blue Cross Blue Shield Healthcare Plan of Georgia, Inc.	.GA	.IA	Cerulean Companies, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.54003	39-0138065				Blue Cross Blue Shield of Wisconsin	.WI	.IA	Crossroads Acquisition Corp.	Ownership	100.000	Anthem, Inc.	.Y	
.0671	Anthem, Inc.		95-3760980				Blue Cross of California	.CA	.IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	.0101
.0671	Anthem, Inc.		20-2994048				Blue Cross of California Partnership Plan, Inc.	.CA	.IA	Blue Cross of California	Ownership	100.000	Anthem, Inc.	.N	.0102
.0671	Anthem, Inc.		95-4694706				CareMore Health Plan	.CA	.IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	.N	.0103
.0671	Anthem, Inc.	.13562	38-3795280				CareMore Health Plan of Arizona, Inc.	.AZ	.IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.13605	26-4001602				CareMore Health Plan of Nevada	.NV	.IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		27-1625392				CareMore Health Plan of Texas, Inc.	.TX	NIA	CareMore Health System	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		32-0373216				CareMore, LLC	.IN	NIA	CareMore Health System	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-2076421				CareMore Health System	.CA	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		46-0613946				CareMore Services Company, LLC	.IN	NIA	The Anthem Companies, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		58-2217138				Cerulean Companies, Inc.	.GA	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		39-1413702				Claim Management Services, Inc.	.WI	NIA	Blue Cross Blue Shield of Wisconsin	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.						CCHA, LLC	.CO	NIA	Anthem Partnership Holding Company, LLC	Ownership	50.000	Anthem, Inc.	.N	.0107
.0671	Anthem, Inc.	.14064	26-4674149				Community Care Health Plan of Louisiana, Inc.	.LA	.IA	Anthem Partnership Holding Company, LLC	Ownership	80.000	Anthem, Inc.	.N	.0109
.0671	Anthem, Inc.	.10345	31-1440175				Community Insurance Company	.OH	.IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.95693	39-1462554				Compcare Health Services Insurance Corporation	.WI	.IA	Blue Cross Blue Shield of Wisconsin	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-0334650				Crossroads Acquisition Corp.	.DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		41-1905556				DeCare Analytics, LLC	.MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		02-0574609				DeCare Dental Health International, LLC	.MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.						DeCare Dental Insurance Ireland, Ltd.	.IRL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		73-1665525				DeCare Dental Networks, LLC	.MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		01-0822645				DeCare Dental, LLC	.MN	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.						DeCare Operations Ireland, Limited	.IRL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		26-2544715				Designated Agent Company, Inc.	.KY	NIA	Anthem Health Plans of Kentucky, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		13-3934328				EHC Benefits Agency, Inc.	.NY	NIA	WellPoint Holding Corp	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.55093	23-7391136				Empire HealthChoice Assurance, Inc.	.NY	.IA	WellPoint Holding Corp	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.95433	13-3874803				Empire HealthChoice HMO, Inc.	.NY	.IA	Empire HealthChoice Assurance, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		26-4286154				Federal Government Solutions, LLC	.WI	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		95-2907752				Golden West Health Plan, Inc.	.CA	.IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	.0104
.0671	Anthem, Inc.	.97217	58-1473042				Greater Georgia Life Insurance Company	.GA	.IA	Blue Cross and Blue Shield of Georgia, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		51-0365660				Health Core, Inc.	.DE	NIA	Arcus Enterprises, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		54-1237939				Health Management Corporation	.VA	NIA	Southeast Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		36-3897701				Health Ventures Partner, L.L.C.	.IL	NIA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.95169	54-1356687				HealthKeepers, Inc.	.VA	.IA	Anthem Southeast, Inc.	Ownership	92.510	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.95169	54-1356687				HealthKeepers, Inc.	.VA	.IA	UNICARE National Services, Inc.	Ownership	7.490	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.96475	43-1616135				HealthLink HMO, Inc.	.MO	.IA	HealthLink, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		43-1364135				HealthLink, Inc.	.IL	NIA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		13-3865627				HealthPlus HP, LLC	.NY	.IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	.N	.0100
.0671	Anthem, Inc.	.78972	86-0257201				Healthy Alliance Life Insurance Company	.MO	.IA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.						HEP AP Holdings, Inc.	.DE	NIA	Imaging Management Holdings, L.L.C.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.95473	84-1017384				HMO Colorado, Inc.	.CO	.IA	Service, Inc.	Ownership	100.000	Anthem, Inc.	.Y	.0108
.0671	Anthem, Inc.	.95358	37-1216698				HMO Missouri, Inc.	.MO	.IA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		75-2619605				Imaging Management Holdings, L.L.C.	.DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		02-0581429				Living Complete Technologies, Inc.	.MD	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.95527	02-0494919				Matthew Thornton Health Plan, Inc.	.NH	.IA	Anthem Health Plans of New Hampshire, Inc.	Ownership	100.000	Anthem, Inc.	.N	

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.		39-2013971				Meridian Resource Company, LLC	WI	NIA	Compcare Health Services Insurance Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		35-1840597				National Government Services, Inc.	IN	NIA	Anthem Insurance Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-1595582				National Telehealth Network, LLC	DE	NIA	Sellcore, Inc.	Ownership	50.000	Anthem, Inc.	N	.0105
.0671	Anthem, Inc.						New England Research Institute, Inc.	MA	NIA	Health Core, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-5476630				NGS Federal, LLC	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4249368				Park Square Holdings, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4386221				Park Square I, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4249345				Park Square II, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		65-0569629				PHP Holdings, Inc.	FL	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		56-2396739				Resolution Health, Inc.	DE	NIA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-0851593				RightCHOICE Managed Care, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
							Rocky Mountain Hospital and Medical Service, Inc.	CO	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	.11011	84-0747736				SellCore, Inc.	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0473316				Simply Healthcare Holdings, Inc.	FL	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	.13726	27-0757414				Simply Healthcare Plans, Inc.	FL	IA	Simply Healthcare Holdings, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		55-0712302				Southeast Services, Inc.	VA	NIA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-4071004				State Sponsored DM Services, Inc.	IN	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		35-1835818				The Anthem Companies, Inc.	IN	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-5443372				The Anthem Companies of California, Inc.	CA	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		43-1967924				TrustSolutions, LLC	WI	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	.11810	84-1620480				UNICARE Health Plan of West Virginia, Inc.	WV	IA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		36-3899137				UNICARE Illinois Services, Inc.	IL	NIA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	.80314	52-0913817				UNICARE Life & Health Insurance Company	IN	IA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4635507				UNICARE National Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		77-0494551				UNICARE Specialty Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		36-4014617				UTILIMED IPA, Inc.	NY	NIA	American Imaging Management, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-4405193				WellPoint Acquisition, LLC	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-2156380				WellPoint Behavioral Health, Inc.	DE	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4640531				WellPoint California Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4657170				WellPoint Dental Services, Inc.	DE	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-2874917				WellPoint Health Solutions, Inc.	DE	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-3620996				WellPoint Holding Corp	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
							WellPoint Information Technology Services, Inc.								
.0671	Anthem, Inc.		45-2736438					CA	NIA	Blue Cross of California	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		36-4595641				WellPoint Insurance Services, Inc.	HI	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-2546820				WellPoint Military Care Corporation	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	.15929	47-5569628				Wisconsin Collaborative Insurance Company	WI	IA	Crossroads Acquisition Corp.	Ownership	50.000	Anthem, Inc.	N	.0107
.0671	Anthem, Inc.		98-0552141				WPMI (Shanghai) Enterprise Service Co. Ltd.	CHN	NIA	WPMI, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-8672847				WPMI, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	69.910	Anthem, Inc.	N	.0106

Asterisk	Explanation
0100	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the New York State Department of Health.
0101	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0102	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0103	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0104	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0105	50% owned by unaffiliated investors
0106	30.09% owned by unaffiliated investors

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

Asterisk	Explanation
0107 .....	50% owned by an unaffiliated investor .....
0108 .....	Received exemption from domestic regulator .....
0109 .....	20% owned by an unaffiliated investor .....

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....

NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 23

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
2304.	Performance Guarantee Liability .....	550,942		550,942	655,340
2305.	Blue Card Liabilities .....	446,053		446,053	498,405
2306.	Other Liabilities .....	436,634		436,634	467,275
2397.	Summary of remaining write-ins for Line 23 from overflow page	1,433,629	0	1,433,629	1,621,020

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	72,500	72,500
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		0
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		0
7. Deduct current year's other than temporary impairment recognized .....		0
8. Deduct current year's depreciation .....		0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	72,500	72,500
10. Deduct total nonadmitted amounts .....		0
11. Statement value at end of current period (Line 9 minus Line 10) .....	72,500	72,500

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage investment and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment including accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	137,985	144,047
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....	(870)	(6,062)
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	137,115	137,985
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	137,115	137,985

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	190,140,929	205,859,810
2. Cost of bonds and stocks acquired .....	85,407,337	58,580,962
3. Accrual of discount .....	328,959	159,363
4. Unrealized valuation increase (decrease) .....	(177,471)	
5. Total gain (loss) on disposals .....	1,308,532	101,113
6. Deduct consideration for bonds and stocks disposed of .....	81,395,913	73,286,515
7. Deduct amortization of premium .....	673,183	1,273,804
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9) .....	194,939,190	190,140,929
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11) .....	194,939,190	190,140,929

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	167,994,834	147,591,019	87,457,206	251,998	139,334,946	167,994,834	228,380,645	131,410,837
2. NAIC 2 (a) .....	38,472,203	960,037	13,280,622	(327,943)	54,193,016	38,472,203	25,823,675	59,621,403
3. NAIC 3 (a) .....	0				0	0	0	
4. NAIC 4 (a) .....	0				0	0	0	
5. NAIC 5 (a) .....	0	4,375,596	109,261	(15,904)	0	0	4,250,431	
6. NAIC 6 (a) .....	7,904,666	0	768,933	(45,910)	0	7,904,666	7,089,823	
7. Total Bonds	214,371,703	152,926,652	101,616,022	(137,759)	193,527,962	214,371,703	265,544,574	191,032,240
PREFERRED STOCK								
8. NAIC 1 .....	0				0	0	0	0
9. NAIC 2 .....	0				0	0	0	0
10. NAIC 3 .....	0				0	0	0	0
11. NAIC 4 .....	0				0	0	0	0
12. NAIC 5 .....	0				0	0	0	0
13. NAIC 6 .....	0				0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	214,371,703	152,926,652	101,616,022	(137,759)	193,527,962	214,371,703	265,544,574	191,032,240

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$ .....70,605,384 ; NAIC 2 \$ .....0 ; NAIC 3 \$ .....0 NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	69,406,678	xxx	69,405,459	3,045	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	891,311	3,678,914
2. Cost of short-term investments acquired .....	143,243,923	59,816,809
3. Accrual of discount .....	4,316	
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	658	(1)
6. Deduct consideration received on disposals .....	74,733,530	62,604,411
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	69,406,678	891,311
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	69,406,678	891,311

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	
2. Cost of cash equivalents acquired .....	70,548,713	
3. Accrual of discount .....	19,871	
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	409	
6. Deduct consideration received on disposals .....	69,370,287	
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	1,198,706	0
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	1,198,706	0

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
912828-XX-3	US TREASURY N/B 2.000% 06/30/24		07/26/2017	RBC DAIN RAUSCHER		9,908,203	10,000,000	14,674	1
0599999. Subtotal - Bonds - U.S. Governments						9,908,203	10,000,000	14,674	XXX
58333P-Z9-2	MIAMI DADE CNTY FL AVIATION SERIES B 5.000% 10/01/40		08/11/2017	Goldman Sachs & Co		3,451,200	3,000,000	0	1FE
73358W-06-4	PORT AUTH OF NEW YORK & NEW JE 5.000% 11/15/36		07/13/2017	Goldman Sachs & Co		3,274,480	2,830,000	0	1FE
79739G-GK-6	SAN DIEGO CNTY CA REGL SERIES B 5.000% 07/01/35		07/19/2017	Morgan Stanley		577,745	500,000	0	1FE
79739G-GL-4	SAN DIEGO CNTY CA REGL SERIES B 5.000% 07/01/36		07/19/2017	Morgan Stanley		1,435,149	1,245,000	0	1FE
79739G-GM-2	SAN DIEGO CNTY CA REGL SERIES B 5.000% 07/01/37		07/19/2017	Morgan Stanley		1,444,380	1,255,000	0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						10,182,954	8,830,000	0	XXX
3622EB-AB-4	GSAA HOME EQUITY TRUST SERIES 2007-4 CLASS A2 1.437% 03/25/37		08/01/2017	Goldman Sachs & Co		3,295,553	5,854,337	2,329	1FM
45660K-AA-9	INDYMAC INDX MORTGAGE LOAN TRU SERIES 2006-AR39 CLASS A1 1.417% 02/25/37		08/21/2017	Morgan Stanley		4,375,596	4,630,261	5,449	5AM
92343V-EB-6	VERIZON COMMUNICATIONS SERIES SERIES 144A 3.376% 02/15/25		08/11/2017	Tax Free Exchange		960,037	994,926	0	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						8,631,186	11,479,524	7,778	XXX
8399997. Total - Bonds - Part 3						28,722,343	30,309,524	22,452	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						28,722,343	30,309,524	22,452	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						28,722,343	XXX	22,452	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
29270C-S3-0	ENERGY NORTHWEST WASH ELEC REV SERIES A 5.000% 07/01/18		07/01/2017	Redemption 100.0000																	
29270C-S4-8	ENERGY NORTHWEST WASH ELEC REV SERIES A 5.000% 07/01/18		07/01/2017	Call 100.0000																	
3199999. Subtotal - Bonds - U.S. Special Revenues						1,000,000	1,000,000	1,011,705	1,001,791	0	(1,791)	0	(1,791)	0	1,000,000	0	0	0	25,000	XXX	XXX
00751Y-AC-0	ADVANCE AUTO PARTS 4.500% 12/01/23		07/21/2017	Mitsubishi Securities		856,368	800,000	835,528	831,551	0	(1,082)	0	(1,082)	0	830,468	0	25,900	25,900	23,500	12/01/2023	2FE
032654-AJ-4	ANALOG DEVICES INC SERIES 10YR 3.900% 12/15/25		07/20/2017	Citigroup Global Markets		633,060	600,000	606,090	605,363	0	(132)	0	(132)	0	605,231	0	27,829	27,829	14,300	12/15/2025	2FE
037411-AZ-8	APACHE CORP 3.250% 04/15/22		07/20/2017	Bony/Barclays Capital Inc		714,868	700,000	711,900	711,847	0	(574)	0	(574)	0	711,273	0	3,595	3,595	6,319	04/15/2022	2FE
07386H-UL-3	BEAR STEARNS ALT A TRUST SERIES 2005-5 CLASS 24A1 3.883% 07/25/35		09/01/2017	Paydown		167,821	192,817	178,597	0	0	(10,776)	0	(10,776)	0	167,821	0	0	0	2,045	07/25/2035	6FE
075987-BA-6	BECTON DICKINSON AND CO 3.125% 11/08/21		08/23/2017	Piper Jeffries & Co		510,855	500,000	498,975	499,496	0	35	0	35	0	499,531	0	11,324	11,324	12,587	11/08/2021	2FE
075996-AA-8	BED BATH AND BEYOND INC 3.749% 08/01/24		07/20/2017	J P Morgan		752,873	750,000	752,760	752,755	0	(57)	0	(57)	0	752,698	0	174	174	13,590	08/01/2024	2FE
084670-BS-6	BERKSHIRE HATHAWAY FIN 3.125% 03/15/26		07/13/2017	Bony/Barclays Capital Inc		606,474	600,000	625,764	624,412	0	(563)	0	(563)	0	623,850	0	(17,376)	(17,376)	6,406	03/15/2026	1FE
12673P-AC-9	CA INC 5.375% 12/01/19		07/05/2017	Pershing		525,000	500,000	565,525	524,522	0	(1,819)	0	(1,819)	0	522,704	0	2,296	2,296	16,349	12/01/2019	2FE
14040H-AY-1	CAPITAL ONE FINANCIAL CORP 4.750% 07/15/21		08/22/2017	Paribas		1,082,790	1,000,000	1,086,220	1,043,351	0	(3,112)	0	(3,112)	0	1,040,238	0	42,552	42,552	29,028	07/15/2021	2FE
189754-AA-2	COACH 4.250% 04/01/25		07/20/2017	J P Morgan		829,944	800,000	761,240	766,593	0	843	0	843	0	767,436	0	62,508	62,508	10,767	04/01/2025	2FE
200340-AP-2	COMERICA INC 2.125% 05/23/19		07/20/2017	Raymond James & Associates		300,444	300,000	299,241	299,638	0	42	0	42	0	299,679	0	765	765	4,285	05/23/2019	1FE
225410-WZ-4	CS FIRST BOSTON COMMERCIAL MOR SERIES 2005-11 CLASS 6A6 6.000% 12/25/35		09/01/2017	Paydown		601,112	601,112	530,481	0	0	70,631	0	70,631	0	601,112	0	0	0	8,649	12/25/2035	6FE
25470D-AF-6	DISCOVER COMMUNICATIONS 3.300% 05/15/22		07/20/2017	Goldman Sachs & Co		710,535	700,000	692,888	694,981	0	222	0	222	0	695,203	0	15,332	15,332	16,042	05/15/2022	2FE
260003-AM-0	DOVER CORP 3.150% 11/15/25		07/13/2017	Baird & Co		812,800	800,000	793,836	794,632	0	122	0	122	0	794,754	0	18,046	18,046	17,010	11/15/2025	1FE
26875P-AK-7	EOG RESOURCES 2.625% 03/15/23		07/14/2017	State Street		991,820	1,000,000	924,320	948,595	0	1,776	0	1,776	0	950,371	0	41,449	41,449	9,042	03/15/2023	2FE
291011-BG-8	EMERSON ELECTRIC CO 3.150% 06/01/25		07/20/2017	B B & T		720,300	700,000	696,339	696,930	0	81	0	81	0	697,010	0	23,290	23,290	14,333	06/01/2025	1FE
31620M-AR-7	FIDELITY NATIONAL INFORMATION 5.000% 10/15/25		07/10/2017	Corporate Action		647,625	577,000	594,616	592,633	0	(382)	0	(382)	0	592,252	0	55,373	55,373	8,014	10/15/2025	2FE
316773-CL-2	FIFTH THIRD BANCORP 3.500% 03/15/22		07/20/2017	Baird & Co		1,043,590	1,000,000	1,004,000	1,002,184	0	(100)	0	(100)	0	1,002,085	0	41,505	41,505	12,639	03/15/2022	2FE
3622EB-AB-4	GSAA HOME EQUITY TRUST SERIES 2007-4 CLASS A2 1.437% 03/25/37		09/25/2017	Paydown		133,677	133,677	75,250	0	0	58,427	0	58,427	0	133,677	0	0	0	239	03/25/2037	1FIM
375558-AU-7	GILEAD SCIENCES INC 4.400% 12/01/21		08/03/2017	Goldman Sachs & Co		543,595	500,000	541,740	532,673	0	(1,976)	0	(1,976)	0	530,697	0	12,898	12,898	15,094	12/01/2021	1FE
437076-BK-7	HOME DEPOT INC 3.350% 09/15/25		07/13/2017	CS First Boston		724,626	700,000	718,382	715,889	0	(386)	0	(386)	0	715,503	0	9,123	9,123	8,012	09/15/2025	1FE
45167R-AF-1	IDEX CORP 4.200% 12/15/21		07/21/2017	Citigroup Global Markets		1,051,090	1,000,000	997,490	998,709	0	62	0	62	0	998,771	0	52,319	52,319	25,783	12/15/2021	2FE
45660K-AA-9	INDYMAC INDX MORTGAGE LOAN TRU SERIES 2006-- AR39 CLASS A1 1.417% 02/25/37		09/25/2017	Paydown		109,261	109,632	103,602	0	0	5,659	0	5,659	0	109,261	0	0	0	202	02/25/2037	5AM
49326E-ED-1	KEYCORP SERIES MTN 5.100% 03/24/21		07/20/2017	Baird & Co		1,097,740	1,000,000	1,117,370	1,056,726	0	(3,294)	0	(3,294)	0	1,053,432	0	44,308	44,308	17,142	03/24/2021	2FE
55279H-AG-5	MANUF & TRADERS TRUST CO SERIES BKNT 2.250% 07/25/19		07/20/2017	Suntrust Bank		705,201	700,000	702,310	701,138	0	(124)	0	(124)	0	701,014	0	4,187	4,187	7,875	07/25/2019	1FE
55279H-AK-6	MANUF & TRADERS TRUST CO 2.900% 02/06/25		07/20/2017	Pershing		698,635	700,000	686,168	687,782	0	336	0	336	0	688,118	0	10,517	10,517	9,530	02/06/2025	1FE
70109H-AK-1	PARKER HANNUFIN CORP SERIES MTN 3.500% 09/15/22		07/21/2017	Mitsubishi Securities		842,664	800,000	790,784	795,382	0	191	0	191	0	795,573	0	47,091	47,091	10,189	09/15/2022	1FE
717081-DX-8	PFIZER INC 1.950% 06/03/21		08/03/2017	Wachovia Securities		1,001,900	1,000,000	1,010,300	1,008,585	0	(563)	0	(563)	0	1,008,022	0	(6,122)	(6,122)	13,271	06/03/2021	1FE
737903-AF-6	ROCKWELL INTL CORP 2.050% 03/01/20		07/20/2017	Wachovia Securities		571,032	570,000	571,322	570,930	0	(85)	0	(85)	0	570,845	0	186	186	4,674	03/01/2020	1FE
80598M-AE-2	SCANA CORPORATION 4.125% 02/01/22		07/20/2017	Citigroup Global Markets		1,043,180	1,000,000	996,160	997,693	0	106	0	106	0	997,799	0	45,381	45,381	19,938	02/01/2022	2FE
863667-AF-8	STRYKER CORP 3.375% 05/15/24		07/14/2017	State Street		722,267	700,000	697,424	697,914	0	59	0	59	0	697,973	0	24,294	24,294	16,013	05/15/2024	1FE
871829-AQ-0	SYSCO CORP 2.600% 06/12/22		08/22/2017	Pershing		705,817	700,000	684,789	688,334	0	690	0	690	0	689,024	0	16,793	16,793	12,791	06/12/2022	1FE
872539-AA-9	TJX COS INC 2.750% 06/15/21		07/20/2017	B B & T		715,001	700,000	706,639	706,389	0	(371)	0	(371)	0	706,018	0	8,983	8,983	11,764	06/15/2021	1FE
92343V-BJ-2	VERIZON COMMUNICATIONS SERIES 2.450% 11/01/22		08/11/2017	Tax Free Exchange		960,037	998,000	954,740	960,037	0	0	0	0	0	960,037	0	0	0	19,357	11/01/2022	2FE
92343V-EB-6	VERIZON COMMUNICATIONS SERIES SERIES 144A 3.376% 02/15/25		08/11/2017	Corporate Action		926	926	894	0	0	0	0	0	0	894	0	32	32	0	02/15/2025	2FE
92553P-AY-8	VIACOM INC 2.750% 12/15/19		07/31/2017	Morgan Stanley		302,636	299,000	301,497	300,333	0	(134)	0	(134)	0	300,199	0	2,437	2,437	5,208	12/15/2019	2FE

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
92826C-AD-4	VISA INC 3.150% 12/14/25		07/13/2017	Citigroup Global Markets		711,921	700,000	705,915	705,253	0	(124)	0	(124)	0	705,130	0	6,791	6,791	13,108	12/14/2025	1FE
046353-AL-2	ASTRAZENECA GROUP PLC 3.375% 11/16/25	D	07/13/2017	CS First Boston		718,310	700,000	700,350	700,310	0	(7)	0	(7)	0	700,303	0	18,010	18,010	15,881	11/16/2025	1FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					25,867,795	25,132,164	25,224,446	24,213,560	0	113,621	0	113,621	0	25,216,006	0	651,790	651,790	450,976	XXX	XXX
8399997	Total - Bonds - Part 4					26,867,795	26,132,164	26,236,151	25,215,351	0	111,830	0	111,830	0	26,216,006	0	651,790	651,790	475,976	XXX	XXX
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					26,867,795	26,132,164	26,236,151	25,215,351	0	111,830	0	111,830	0	26,216,006	0	651,790	651,790	475,976	XXX	XXX
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999	Totals					26,867,795	XXX	26,236,151	25,215,351	0	111,830	0	111,830	0	26,216,006	0	651,790	651,790	475,976	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

SCHEDULE DL - PART 1  
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date						
1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation/ Market Indicator	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
0599999. Total - U.S. Government Bonds				0	0	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
3199999. Total - U.S. Special Revenues Bonds				0	0	XXX
000000-00-0	CITIGROUP GLOBAL MARKETS		2	265,319	265,319	10/02/2017
000000-00-0	PERSHING LLC		1	397,979	397,979	10/02/2017
000000-00-0	JEFFERIES LLC		2	132,660	132,660	10/02/2017
000000-00-0	CANTOR FITZGERALD & CO		1	1,547,156	1,547,156	10/02/2017
000000-00-0	JP MORGAN CLEARING CORP		1	2,653,193	2,653,193	12/28/2017
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations				4,996,307	4,996,307	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				4,996,307	4,996,307	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
6099999. Subtotal - SVO Identified Funds				0	0	XXX
6199999. Total - Issuer Obligations				4,996,307	4,996,307	XXX
6299999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6399999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6499999. Total - Other Loan-Backed and Structured Securities				0	0	XXX
6599999. Total - SVO Identified Funds				0	0	XXX
6699999. Total Bonds				4,996,307	4,996,307	XXX
7099999. Total - Preferred Stocks				0	0	XXX
000000-00-0	JP MORGAN CLEARING CORP		L	663,298	663,298	
000000-00-0	Morgan Stanley & Co. LLC		L	132,660	132,660	
7199999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)				795,958	795,958	XXX
7599999. Total - Common Stocks				795,958	795,958	XXX
7699999. Total - Preferred and Common Stocks				795,958	795,958	XXX
608919-71-8	Federated Govt Obli FD-1 (Premier)			1,465,768	1,465,768	10/02/2017
8999999. Total - Short-Term Invested Assets (Schedule DA type)				1,465,768	1,465,768	XXX
9999999 - Totals				7,258,033	7,258,033	XXX

General Interrogatories:

1. Total activity for the year to date      Fair Value \$ .....(3,227,103)      Book/Adjusted Carrying Value \$ .....(3,227,103)
2. Average balance for the year to date      Fair Value \$ .....11,449,623      Book/Adjusted Carrying Value \$ .....11,449,623
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:  
    NAIC 1 \$ .....4,598,328    NAIC 2 \$ .....397,979    NAIC 3 \$ .....    NAIC 4 \$ .....    NAIC 5 \$ .....    NAIC 6 \$ .....

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Anthem Health Plans of Maine, Inc.

**SCHEDULE DL - PART 2**  
**SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned Current Statement Date

1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation/ Market Indicator	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
NONE						
9999999 - Totals						XXX

General Interrogatories:

- |   |                     |                                       |
|---|---------------------|---------------------------------------|
| 1. Total activity for the year to date  | Fair Value \$ ..... | Book/Adjusted Carrying Value \$ ..... |
| 2. Average balance for the year to date | Fair Value \$ ..... | Book/Adjusted Carrying Value \$ ..... |

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
Bank of America ..... Hartford, CT .....					(2,373,847)	(4,177,313)	(4,714,866)	XXX
Citibank ..... New Castle, DE .....					817,901	40,837	271,386	XXX
Bank of New York Mellon ..... New York, NY .....					(56,113)	(184,911)	(152,163)	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(1,612,059)	(4,321,387)	(4,595,643)	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	(1,612,059)	(4,321,387)	(4,595,643)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	(1,612,059)	(4,321,387)	(4,595,643)	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]